



### Capitalize on the Benefits that Only L-1 Can Offer

Outsourcing a complete submission process to L-1 can provide your organization with many important operating efficiencies and organizational benefits.

- Speed response times and get fewer submission rejections
- Avoid costly equipment purchases and maintenance expense
- Eliminate the worry of fee collections and payment processing
- Simplify reporting and auditing by letting L-1 do it for you
- Empower applicants to schedule appointments online or by phone at their convenience

L-1 channels 18,000+ FBI submissions monthly and provides responses on average in less than one business day.

Each week L-1 submits over 1,000 transactions to FINRA for banking and brokerage clients.

# The Only Complete Fingerprint and Background Check Solution for Financial Services

## Adopt a Faster, More Efficient and Cost Effective Approach to Meeting FINRA, ABA and FBI Background Check Requirements

Whether your organization uses an internally-managed solution, or outsources components to third parties, there is a better way to process fingerprint and background checks required for licensed employment positions in the financial services industry. Faster submissions. Fewer rejections. Simplified processing. Substantial cost savings. L-1 delivers it all, seamlessly, without disrupting existing processes or creating more work for busy departments.

L-1 has the only complete solution that encompasses all front and back end procedures required for Federal licensing. With L-1, financial services organizations are transforming this once onerous process into a highly efficient, fast and accurate system that saves valuable time and money. In fact, some organizations have saved up to \$100,000 annually in related fees by using the L-1 solution.

### The Complete L-1 Solution for Financial Services

#### Data Collection and Enrollment

Fingerprints and data are captured quickly and securely at any of our nearly 1,000 national centers, or at your offices, by our team of professional certified experts.

#### Direct Channeling and Response

Submissions are electronically transmitted directly to agencies such as FINRA and the FBI. Responses are received quickly and sent directly to your HR or hiring organization. We support many purge policy options.

#### Auditing and Reports

A secure self-service web portal enables authorized personnel to review submissions and create helpful reports. This includes reviewing the status of individual submissions, analyzing transmission volumes, trends and response rates, and more.

#### Integration with Employee Records

Data and results can be integrated with permanent records and tied directly to employee files via a simple interface into existing HR systems.



## Trust that Your Data is Secure and Privacy Protected

All of our background check and fingerprinting operations operate upon secure systems and processes. This includes the people we employ, the technology we use and the standards with which we comply.

- **People.** As an authorized channel to the FBI, L-1 meets FBI security requirements as outlined in the CJIS Security Policy and we are subject to FBI compliance reviews and periodic audits. Staff at our centers undergo FBI fingerprint background checks, must be approved by the FBI, and receive security training, before accessing any applicant data or information.
- **Technology.** Our channeling servers are located in a highly secure data center, a restricted access facility in the U.S featuring a Network Operations Center (NOC) that is staffed 24x7x365. All network security connections, in- and out-bound, follow FBI network authentication and encryption requirements.
- **Standards.** We comply with federal standards including those mandated by the Federal Information Security Management Act. We also comply with the stringent security policies set forth by our commercial customers.

## When and Where You Need Us, We're There

Our solution is customizable and can be tailored to meet any operating environment. You can choose to direct your employees to our national centers, or we can bring the service to you – deploying our mobile stations at your office locations to help fulfill short term fingerprinting needs due to backlog, regulatory compliance, hiring surges or the opening of new locations.

## Flexible Payment Models

L-1 offers flexible payment models to fit any budget or processing requirement. We operate based on an on-demand, per click model - you only pay for the service when you use it. You can opt to have your employees pay directly online via credit card, or L-1 can bill your Company monthly based on total transactions.

## For More Information, Contact us Today

Call us at +1-xxx-xxxx  
Or email our team at [enrollmentservices@l1id.com](mailto:enrollmentservices@l1id.com)

[www.l1id.com/enrollmentservices](http://www.l1id.com/enrollmentservices)

## Rely on the Most Trusted Brand Trusted in the Industry

Businesses and governments around the world rely on L-1 Identity Solutions to protect and secure personal identities and assets. The Enrollment Services Division of L-1 supports this mission by providing fast, accurate and cost effective background check and fingerprinting services required for licensed civilian employment. The division offers:

- The largest enrollment center network in the U.S. and Canada, with approximately 1,000 locations
- Proven experience from processing more than six million applicants
- Trusted fingerprint agents who are certified technicians and full-time professionals
- State-of-the-art technology and systems
- Customer satisfaction guarantees with service level agreements, established help desks, and customer support hotlines

[www.l1id.com](http://www.l1id.com)